

GOOD GOVERNMENT FRAMEWORK PROGRESS AND NEXT STEPS FOR STREAMLINE ACTION PLAN

Issue/Function	Action to Mitigate Risk	Responsibility	Target/Frequency	Progress Status, date on the required action	Remaining intermediate Steps	Revised Target Date	Any resources required
1. Procurement							
	All procurement under the Project will be carried out by the Procurement Agent (PA), on behalf of the line ministries, up to the stage of preparing notifications of contract award and contract agreements for signature by MPWT/RID .	RID-MPWT will provide technical input (e.g.. detailed designs, BoQ, Cost Estimates, ToRs) to PA. MEF selected a Procurement Agent (PA).	- Furnish Technical Input in August 2007. - Signing contract with PA in November 2007.	Done Done: IPA mobilized in December 2007.			
	MPWT/RID will take responsibility for issuing the notification of award of contracts, sign and administer the contracts.		Implementation of Consultant Services and Civil Works Contract in January 2008 – July 2009	DELAYED	Signing contract with Contractor and Consultants.	Signing contract with Contractor and all Consultants in 2009.	REQUEST EXTENSION OF THE CLOSING DATE.
1.1 Procurement: by IPA							
Civil Works:	All procurement	Procurement	Signing contract	The FIRST	- Revised bid	NA	EXTENDED

<p>ICB Package No 0005/rebid-0238</p>	<p>under the Project will be carried out by the Procurement Agent (PA), on behalf of the line ministries, up to the stage of preparing notifications of contract award and contract agreements for signature by MPWT/RID .</p>	<p>Agent</p>	<p>on 02 September 2008</p>	<p>BIDDING conducted on 25 June 2008 that was given NOL from the Bank for RE-BID.</p> <p>Revised draft bidding document included price adjustment. due to the rapid increasing prices of major construction materials.</p> <p>Proposed cut down scope of civil works contract, due to economic crisis and budget constraint.</p>	<p>documents and submitted to IDA for NOL. - Awaited NOL from TTL. - Completion of BER and NOL. - Signing Contract.</p>		<p>IPA CONTRACT</p>
<p>Consultant Services: Supervision Consultant/ QCBS/0008</p>			<p>Signing contract on 15th Sep 2008</p>	<p>- Negotiated for signing contract on 16-17 December 2008. - Submitted for TTL for NOL on 19th Dec</p>	<p>Signing contract.</p>	<p>Sign contact in January 2009</p>	<p>EXTENDED IPA CONTRACT</p>

				2008.			
Consultant Services: UXO/IC/0009			Signing contract on 02 May 2008	IPA proposed qualified candidate for signing contract on 17 th September 2008. However, the selected candidate is MPWT staff. IDA advised MPWT to avoid this consultant recruitment and liaise with IPA to find a suitable candidate. MPWT inform to IPA on 31 December 2008.	Re-selection and Signing contract.	N/A	EXTENDED IPA CONTRACT
Consultant Services: Monitoring/IC/0010			Signing contract on 25 th Jul 2008	DELAYED			EXTENDED IPA CONTRACT
Consultant Services: Technical Audit/CQS/0011			Signing contract on 12 April 2009	DELAYED			EXTENDED IPA CONTRACT
Consultant Services: Accountant/IC/0156			Signing contract on 25 September 2008	DELAYED	No	Signed contract on 01 December 2008.	No
1.2 Procurement: by PRIP/MPWT							

Consultant Services: CS1/QCBS - Capacity bldg of Human Resources & Safeguards	All procurement under PHRD Grand carried out by PRIP/RID/MPWT.	PRIP/RID/MPWT	Signing contract in April 2009.	- Submitted short listed firms to TTL for NOL on 23 rd October 2008. - RFP submitted and awaited NOL.	- Approvals of RFP and short- listed firms. - Technical Evaluation. - IDA NOL. - Negotiate and sign contract.	N/A	REQUEST EXTENSION OF THE CLOSING DATE.
Consultant Services: CS2/QCBS - Capacity bldg of Procurement & Good Governance			Signing contract in April 2009.	- Submitted short listed firms to TTL for NOL on 23 rd October 2008. - RFP submitted and awaited NOL.	- Approvals of RFP and short- listed firms. - Technical Evaluation. - IDA NOL. - Negotiate and sign contract.	N/A	REQUEST EXTENSION OF THE CLOSING DATE.
Consultant Services: CS3/IC - Financial Advisor			Signing contract on 15 th October 2008.	- EOI on 16/17 July 2008. Then 07/08 August 2008. - Signed contract on 09 December 2008.	No	Signed contract on 09 th December 2008.	No
2. Financial Management							
- Integrate WB- PIU2 to RID.	Transfer of existing hardware and accounting application system from the PIU 2 to the RID Financial Office.	MPWT-PRIP	Manage Project Special Account and Counterpart Funds Account for PRIP	Done	NO	NO	NO

	<p><u>Specimen Signatures:</u> - Co-signatures on Withdrawal Application between Minister of Economy and Finance (MEF) or his/her designate and Minister of Public Works and Transport (MPWT) or his/her designate. - Co-signatures on check.</p>						
<p>- The capacity of Staff in RID Accounting Office is limited.</p>	<p>Training of RID Financial Office staff in use of the project computerized accounting system, PRIP FM Manual, and procedures, including bank transfers, and for monthly bank reconciliations and monthly and quarterly reports, in producing annual financial statements production and supporting schedules,</p>	<p>RID-MPWT, IDA</p>	<p>Additional Training in January 2008 Procurement of Financial Consultant by IPA.</p>	<p>Assisted by Accounting Consultant. DONE</p>	<p>Develop program and request for NOL from IDA</p>	<p>Jan 2009. Signing contract in Nov 2008</p>	<p>Recruitment of Local Accounting Consultant. Intensive Training (PHRD Grand).</p>

	maintaining asset inventories, internal financial inspections; and in preparing documents for external financial audits including financial auditing scheduling.						
3. Disclosure							
Enhance transparency through greater public disclosure of project information.	Disclose publicly all pertinent contract information on contract for works on road in project provinces that are pre-finance or awarded on a non-competitively bid basis and not financed by credit.	MPWT	November 2007	- Established web site: www.rid-mpwt.gov.kh .	DPWTs collected information.	Action to be continued.	Trainer for training to design Website or Fee for design and implement website. Operation Costs for uploading data.
	Publish Annual Works Program & Procurement Plan on MPWT website (i.e. make available to public)	RID-MPWT	November 2007	- Established web site: www.rid-mpwt.gov.kh .	- Uploaded bid documents and procurement plans on web site.	Action to be continued.	
	Publish Quarterly Progress Report on MPWT website (i.e. make available to public)	RID-MPWT	November 2007	- Established web site: www.rid-mpwt.gov.kh . - Uploaded Progress Report		Actions to be continued	

				on website.			
	Make Public all Final Annual Audit Reports (Financial and Technical)	RID-MPWT	November 2007	- Established web site: www.rid-mpwt.gov.kh . - Uploaded Audit reports on website.		Action to be continued.	
	Make Public all Bidding Documents	PA	October 2007	- Established web site: www.rid-mpwt.gov.kh . - PA mobilized	PA finalizes with IDA.	Action to be continued.	
	Make Public all Short Lists	PA	October 2007	- Established web site: www.rid-mpwt.gov.kh . - PA mobilized	PA finalizes with IDA.		
	Make public contract awards	PA	January 2008	- Established web site: www.rid-mpwt.gov.kh . - PA mobilized	PA finalizes with IDA and RGC.		
	Contract information to be available to any person.	PA	February 2008	- Established web site: www.rid-mpwt.gov.kh . - PA mobilized	PA finalizes with IDA and RGC.		
4. Civil Society Role							
Encourage Civil Society Groups to attend Public Bid Openings, Contract Signing and other key procurement	Compile list of relevant event attendees. Develop guidelines and sample invitations	RGC/MEF & PA	To be determined by the RGC/MEF/ &PA	PA mobilized	TBD	TBD	RGC/MEF initiated Seminar/Workshop

steps							
Participating in Bid openings	Invite Civil Society to participate the Bid openings and other necessary steps.	RGC/MEF & PA	To be determined by the RGC/MEF/ & PA	PA mobilized	TBD	TBD	MEF initiated Seminar/Workshop
Create partnership among donors for combating corruption	Conduct initial meeting to decide "next steps"	MEF/RGC	To be determined	PA mobilized	TBD	TBD	MEF initiated Seminar/Workshop
5. Complaints and Remedies mechanism							
Establish Project Complaint Logs	Design Complaint Log Format	RGC/PA & WB	January 2008	PA mobilized	TBD	TBD	Fees for implementation
	Adopt & Advertise Complaint Log Guidelines	RID-MPWT	February 2008	PA mobilized	TBD	TBD	Fees for implementation
	Establish "Complaint Contact Point" for Public Access	RID-MPWT and MEF	February 2008	PA mobilized	TBD	TBD	Fees for implementation
6. Code of Ethical Conduct							
MEF, MPWT to adopt an institutional code of professional ethics.	Staff will sign a Code of Ethics Pact within ten (10) days of taking over any functions related to the PRIP in accordance a format provided in the procurement manual issued in the PROKAS 587.	RGC/MEF, MPWT	TBD	PA mobilized	Preparing Code of Ethics	TBD	MEF initiated Training Needs/Carry out training
The principal of all bidders shall mutually commit to refrain from and prevent all corrupt acts and submit to effective sanctions	Contractors, suppliers and consultants will submit an integrity pledge within ten (10) days of award of the contract or forfeit the contract award; agreeing	PA, MEF	TBD	PA mobilized	Preparing Code of Ethics	TBD	MEF initiated Training Needs/Carry out training

in the event of non-compliance.	to strict penalties for violation of the Integrity Pact, including debarment						
7. Sanctions							
Integrity Pacts for: <ul style="list-style-type: none"> ▪ Individuals involved with Procurements 	Design and prepare implementation guidelines for Procurement Integrity Pacts. Design and prepare implementation guidelines for institutional code of professional ethics. Design and prepare implementation guidelines for Code of Ethics Pact.	RGC/MEF	TBD	EA agreed to carry out	Designing and Implementing	TBD	MEF initiated Training Needs/Carry out training
Remedies for Procurement Non-compliance	Agree time-line for submittal of bid / proposal Evaluation Reports to IDA, and guidelines for successful implementation.	RGC/MEF	TBD	EA agreed to carry out	Designing and Implementing	TBD	Training Needs/Carry out training
	Agree time-line for minimizing delays related to bid submittal, bid validity and guidelines for successful implementation. Identify and provide time-lines and implementation guidelines for other procurement activities to prevent procurement non-compliance.	RGC/MEF	To be determined	EA agreed to carry out	Designing and Implementing	TBD	Training Needs/Carry out training
RGC Remedial	Develop guidelines for	RGC/MEF	To be determined	EA agreed to carry out			MEF initiated

Action Guidelines	identifying, investigating and taking remedial actions for cases of corruption						Workshop/Seminar
8. Project Specific Elements							